RESEARCH ARTICLE

Hyperbaric Oxygen Therapy Increases Brain-Derived Neurotrophic Factor as well as Decreases Systemic Immune-Inflammatory Index and Systemic Inflammatory Response Index in Autism Spectrum Disorder

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Abstract

ACKGROUND: Neuroinflammation and immune dysregulation are frequently viewed as contributing factors of autism spectrum disorder (ASD). Brain-derived neurotrophic factor (BDNF) is involved in the maintenance of neuron viability, as well as in neuron differentiation. Meanwhile, Systemic Immune-Inflammatory Index (SII) and Systemic Inflammatory Response Index (SIRI) are basic hematological indices used to assess inflammation and immune status. Hyperbaric oxygen (HBO) is known to enhance cerebral blood flow and reduce inflammation, however, not many studies have observed the its effect on BDNF level, SII, and SIRI in ASD subjects; therefore, this study was performed.

METHODS: Fifteen ASD subjects were involved in this study and received HBO therapy 10 times within a 2-week period. The HBO therapy was performed by letting the subjects got into an isolated chamber filled with 100% oxygen and 1.3 ATA pressure for 60 minutes. Pre- and post-therapy blood samples were taken from subjects. BDNF level was measured with Enzyme Linked Immunosorbent Assay (ELISA), while neutrophils, monocytes, lymphocytes and platelets were measured by hematology analyzer for the calculation of SII and SIRI.

RESULTS: Post-therapy BDNF level was higher than pre-therapy (1.84 ng/mL vs. 1.46 ng/mL; p=0.039). The increased in BDNF suggested reduced neuroinflammation and enhanced connections between neurons. Both post-therapy SII (672.4 vs. 359.4; p=0.005) and SIRI (1.3 vs. 0.7; p=0.009) were significantly lower than pre-therapy indexes. Decreased in SII and SIRI signified a reduction in neuroinflammation.

CONCLUSION: HBO therapy increases BDNF level, also decreases SII and SIRI in ASD subjects. These results suggest that HBO has an effect on neuroinflammation, specifically in ameliorating inflammation.

KEYWORDS: autism spectrum disorder, BDNF, SII, SIRI, hyperbaric oxygen therapy

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Introduction

Autism spectrum disorder (ASD) is a neurodevelopmental disorder with primary symptoms of impaired social communication and interaction, as well as repetitive and restricted behaviors and interests.(1,2) According to the Center for Disease Control and Prevention (CDC), the frequency of ASD has been rising each year, increasing from 1/154 children in 2000 to 1/54 children in 2016.(1) ASD is recognized for having various factors contributing to its development and accompanying conditions. The causes of ASD may involve genetic and environmental factors, including dysfunction of the immune system. However, the correlation between immune system and aberrant neural circuits in alternating behavior and communication characterized by ASD remains unknown.(3) Other than immune system, neuroinflammation is also frequently viewed as contributing factors of ASD.(4)

Brain-derived neurotrophic factor (BDNF) is a crucial neurotrophic factor involved in the management of neuroinflammation in ASD by reducing inflammation and protecting nerve cells. BDNF has important role in synaptic plasticity and nerve cell development.(5) The level of BDNF production has a prominent impact on neutron activity, which is closely linked to the field of learning and memory.(6) Depletion of BDNF has been associated with the level of neurological damage in neurological disorder, including ASD. It is reported that peripheral BDNF level inadvertently reflect the concentration of BDNF in brain.(7,8) The involvement of BDNF in autism impacts the development of brain through its neurotrophic effects.(9)

To assess inflammation and immune status. hematological testing is also commonly used. The simultaneous examination of neutrophil, monocytes, lymphocyte and platelets levels contributes to the determination of microinflammatory status through the Systemic Immune-Inflammatory Index (SII) and the Systemic Inflammatory Response Index (SIRI). Both inflammatory indexes are employed as predictors of alterations in cancer, cardiovascular, as well as inflammatory conditions. Alterations in these indexes reflect an alteration in the equilibrium between patient's inflammatory and immunological status. SII and SIRI are considered as comprehensive biomarkers that are easily accessible and validated across a wide range of studies.(10,11)

Screening, diagnosis, and early therapy implementation are crucial factors to achieve the best therapeutic outcomes

in reducing symptoms and promoting social adaptation for individuals with ASD.(2,12) In individuals with ASD, there is a reduction in regional cerebral blood flow in the bilateral frontal lobe, temporal lobe, limbic system, and basal ganglia.(13) Hence, therapies specifically targeting the neuroinflammation could be potential for ASD treatment.

Various modalities of therapies have been given to ASD patients to reduce neuroinflammation, such as exercise, anti-inflammatory agents, and hyperbaric oxygen (HBO). HBO therapy is known to be able to enhance cerebral hypoperfusion, reduce brain inflammation, and lower oxidative stress in individuals with ASD.(13,14) HBO therapy also enhances the blood serum's oxidative capacity through diffusion, correcting hypoxia and decreasing tissue edema.(15) Even though it was reported that BDNF was utilized as a diagnostic marker to track the progress and improvement of ASD after HBO therapy (16), however, not many studies have been conducted to observe the effect of HBO therapy on BDNF, SII, and SIRI, and evaluate how these aspects of therapy may ameliorate neuroinflammation in ASD subjects. Therefore, this study was performed.

Methods

Study Design and Subjects Recruitment

This was a quasi-experimental study with pre- and posttherapy design conducted in Marine Health Institute/ Lembaga Kesehatan Laut (LAKESLA) TNI AL Drs. Med. R. Rijadi Sastropanoelar, Phys, Surabaya, Indonesia during May to June 2023. Fifteen ASD patients aged ≤ 18 years old were involved as subjects in this study. Subjects who had chronic illnesses, lack of nutrients, or had physical limitations were excluded from the study. Subjects would also be excluded from the study during any emergency situations and if the subject's family declines to continue the HBO therapy. Informed consents were obtained from the subjects' parents. This study followed the Helsinki Declaration and World Health Organization-Council for International Medical Sciences (WHO-CIOMS) ethical guidelines. The protocol of this study was ethically approved by Ethical Committee of LAKESLA (No. 07/EC/ LKS/V/2023).

HBO Therapy and Blood Sample Collection

ASD subjects received HBO therapy 10 times within a 2-week period. The subjects were exposed to high pressure air in an isolated chamber for 60 minutes, with 100% oxygen

 (O_2) levels and a pressure of 1.3 ATA, continuously. The HBO therapy sessions were conducted during the afternoon. For the measurement of BDNF and the haematological parameters, blood samples from subjects were taken twice; once taken before the first HBO session for the pre-therapy analysis, and once taken immediately following the final HBO session for the post-therapy analysis.

Measurement of BDNF

The collected blood samples were placed into the serum separator tube (SST) and then centrifuged at 3000 revolutions per minute (RPM) for 15 minutes. The serum was promptly isolated and kept as a combined sample at a temperature of -20°C. The BDNF level was analyzed using sandwich Enzyme Linked Immunosorbent Assay (ELISA) with Human Brain Derived Neurotrophic Factor, BDNF ELISA Kit (Cat. No. E1302Hu; BT LAB, Jiaxing, China), with the sensitivity of 0.023 ng/mL and detection range of 0.05-10 ng/mL. Forty µL of serum sample was added to a well that had been pre-coated with human BDNF antibodies. The addition of biotinylated human BDNF would bind BDNF to the sample. Streptavidin-horseradish peroxidase (HRP) was introduced and would be attached to BDNF antibodies that have been labeled with biotin. and then washed. The addition of substrate solution would result in color change that was proportional to the amount of human BDNF present. After the stop solution was added to halt the reaction, the absorbance was then measured using a single ELISA HumaReader HS (HUMAN Diagnostics Worldwide, Wiesbaden, Germany) at a wavelength of 450 nm.

Calculation of SII and SIRI

Approximately 1 mL of blood sample were drawn using the anticoagulant ethylenediaminetetraacetic acid (EDTA) tube for complete blood count tests using an automated hematology analyzer Sysmex XN-3000 (Sysmex, Kobe, Japan). The analysis of leukocyte count (neutrophils, monocytes, lymphocytes) was conducted using flow cytometry with a 633 nm semiconductor laser. Examination of cell size, intracellular data, and nucleic acid elements was performed by utilizing forward scattered light, side scattered light, and side fluorescent light. Meanwhile, the platelet count was determined using the direct current sheath flow technique. The obtained neutrophils, monocytes, lymphocytes, and platelets value were used for the calculation of SII and SIRI, with following formula:

> SII = (Platelet × Neutrophil)/Lymphocyte SIRI = (Neutrophil × Monocyte)/Lymphocyte.

Results

Subjects' Characteristics

From 15 ASD subjects receiving HBO therapy, 80% of the subjects consist of male, with most of the subjects were within the 6-10 years old age range (53.3%). No subjects had the history of ASD in their family (Table 1).

Though not significantly, HBO therapy reduced white blood cells/leukocytes level from 11.22 ± 4.30 to 10.23 ± 3.10 mm³, indicating the reduction of neuroinflammatory conditions in ASD subjects. Not only leukocytes, HBO therapy also decreased the neutrophil (39.41±28.12 to $35.62\pm24.28\%$) and platelets (301.12±255.30 to 248.24±205.76 mm³) levels in ASD subjects compared to pre-therapy (Table 2).

HBO Therapy Increased BDNF Level and Decrease SII and SIRI

Pre-therapy BDNF level in ASD subjects ranged from 0.1-5.5 ng/mL, with an average of 1.46 ± 1.30 ng/mL. While, after the HBO therapy, there were significant increase (p=0.039) in BDNF level, with range of 0.1-5.6 ng/mL and an average of 1.84 ± 1.50 ng/mL (Table 3). ASD subjects receiving HBO therapy experienced improved BDNF level due to the increased oxygen levels under pressure, which resulted in enhanced cerebral blood flow and decreased neuroinflammation.

Even though there was no significant difference between the platelet and leukocytes component pre- and posttherapy; however, as composite scores incorporating the main peripheral blood parameters related to inflammation, there were significant decreases of SII and SIRI after HBO therapy (p=0.005 and p=0.009, respectively) (Table 3).

Table 1. Characteristics of ASD subjects				
receiving HBO therapy (n=15).				

Characteristics	n (%)
Gender	
Male	12 (80)
Female	3 (20)
Age (years)	
0-5	4 (26.7)
6-10	8 (53.3)
11-15	2 (13.3)
16-18	1 (6.7)
History of ASD in the family	
History	0 (0)
No history	15 (100)

(1 10).			
Parameters —	Mea	n valua	
r arameters —	Pre-therapy	Post-the rapy	<i>p</i> -value
Leukocyte (mm ³)	11.22 ± 4.30	10.23±3.10	0.354
Neutrophil (%)	39.41±28.12	35.62±24.28	0.060
Monocyte (%)	4.75±6.03	5.94 ± 5.46	0.245
Lymphocyte (%)	27.48±27.56	28.92±3.10	0.982
Platelet (mm ³)	301.12±255.30	248.24±205.76	0.115

Tabel 2. Hematologic parameters in ASD subjects pre- and post-therapy
(n=15).

Means were compared with paired sample t-test.

These results suggested that both SII and SIRI might be integrated inflammation indicators in ASD subjects.

Discussion

BDNF is beneficial as a potential indicator of neuroplasticity since it can readily pass through the blood-brain barrier. Serum BDNF concentrations directly mirror the brain's BDNF concentration. The effectiveness of therapeutic interventions in ASD subjects can be measured by monitoring BDNF levels. Elevated BDNF levels are typically linked to improved cognitive function, memory, and mood.(7,9) The results of current study showed a significant increase in BDNF level post-therapy (p=0.039), supporting the role of HBO in improving neuroplasticity in ASD patients.(17) The finding of this study shows lower BDNF level compared to previous study, that found BDNF level in autism patients to be 4.02±1.27 ng/mL.(18) The causes of variations in BDNF levels might be due to the variations in clinical characteristics, like age and gender distribution, or the types of BDNF samples utilized in various studies. BDNF is utilized as an indicator of neuroplasticity in individuals with ASD, which can be affected by age, diet, nutritional status, exercise, circadian rhythm, and characteristics of intestinal microbiota.(19) In this study, since the HBO therapy session was conducted in the afternoon, this might affect BDNF level due to the subjects' circadian rhythm, resulting in decreased effectiveness of HBO therapy, which results in small increase of BDNF level.

Hypoperfusion in ASD is mainly observed in about 75% of cases in temporal lobe regions, which are linked to alterations in ASD behavior. It is anticipated that HBO will enhance hypoperfusion in the region, leading to improved clinical outcomes by increasing oxygen solubility in plasma. This will results in the improvement of tissue perfusion, particularly in the brain, and reduction of neuroinflammation.(20) Oxidative stress and dysfunction in the mitochondria of the brain will lead to a reduction in BDNF expression, particularly in the hippocampus. Stimulation of hyperoxygenation by 100% at high pressure enhances hippocampal neurogenesis and provides protective effects against brain injury and ischemia. Hence, administering HBO might boost the production of neurotrophic factors, including BDNF, and reduce the proinflammatory cytokines and oxidative stress parameters while boosting blood flow to the brain in individuals with ASD.(21,22)

ASD is also significantly impacted by systemic inflammation. Chronic neuroinflammation increases neutrophil and monocyte levels, triggering prolonged inflammatory immune responses.(23) In ASD patients, elevated pro-inflammatory cytokines will the activation of neutrophils.(24) Meanwhile, neurological defect is mainly linked to the functioning of platelets. The increment of platelet were found in ASD cases where mammalian target rapamycin (mTOR) activation raises megakaryocytes.

D	Mea	n±SD	
Parameters -	Pre-therapy	Post-the rapy	<i>p</i> -value
BDNF (ng/mL)	$1.46{\pm}1.30$	$1.84{\pm}1.50$	0.039*
SII	$672.40{\pm}508.40$	359.40±224.60	0.005*
SIRI	1.30 ± 1.20	0.70 ± 0.44	0.009*

*Significant if p < 0.05; Means were compared with paired sample t-test.

(25,26) Though not significant, however the results of this study, showed reduction in neutrophil and platelets after HBO therapy in ASD subjects, suggesting that this might be due to the reduction of inflammation. Besides being inflammation parameters, neutrophils, lymphocytes, monocytes, and platelets are also the fundamental hematological parameters to determine SII and SIRI. The results of current study indicate that HBO reduced systemic inflammation, which is shown by the significant reduction of SII (p=0.005)and SIRI (p=0.0009). Study on SII and SIRI in individuals with necrotizing pneumonia suggests that these markers are reliable predictors of hospitalization duration and prognosis. SII is also a useful indicator of inflammation, comparable to C-reactive protein (CRP) and erythrocyte sedimentation rate (ESR).(27) Additionally, the decrease of SII is also related to the inflammation reduction.(28)

HBO is a viable alternative treatment for individuals with ASD. The results of this study showed that this treatment yields significant results, including significantly ameliorated inflammations. However, it is important to note that while some studies have reported improvements in cognitive and behavioral outcomes following HBO therapy, others have found no significant changes in these areas since behavioral aspects should be validated by psychological parameters. HBO therapy also needs to be used cautiously due to some potential side effects. Reported possible side effects include injury in middle ear, sinus/paranasal, dental barotrauma, central nervous system, and oxygen toxicity. (15) HBO therapy session performed in a limited area might create stress, particularly in individuals with ASD.(1)

Unfortunately, in this study, the type of ASD patients was not distinguished (typical or atypical ASD). Oxidative stress markers, as well as other factors affecting the examination of BDNF including age, diet, nutritional status, physical activity, circadian rhythm, and gut microbiota characteristics are also not measured or considered. Future research should explore those factors that might contribute to discrepancies of results while understanding the full potential of HBO therapy as a treatment for ASD. Since this study only involved few numbers of subjects, further study with a more extensive group of ASD patients is suggested.

Conclusion

HBO therapy increases BDNF level, also decreases SII and SIRI in ASD subjects. These results suggest that HBO has an effect on neuroinflammation, specifically in ameliorating inflammation, and is a potential option for ASD treatment.

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Authors Contribution

VB and BAT were involved in conceptualizing and planning the research. NKSDU, PA, and DEP performed the sample and data acquisition/collection. VB, RPA and VS calculated the experimental data and performed the analysis. VB and BAT drafted the manuscript and designed the figures, as well as aided in interpreting the results. All authors took parts in giving critical revision of the manuscript.

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